# Table II — Derivative Securities Acquired, Disposed of, or Beneficially Owned (2.3., puts, calls, warrants, options, convertible securities)

FORM 4 (continued)

Code V (A) (D) Date Expiral Exercisable Date					Owned at End of Month (Instr. 4)	Derivative Security: Direct (D) or Indirect (I)	of Indirect Benefi- cial Owner- ship
	(A)	Date Expiration Exercisable Date	Title Amount or Number of Shares	nt or er of		(1.101)	(IIISU: 4)

(1) In addition to Baker/Tisch Capital (GP), LLC, this Form 4 is being filed jointly by Felix J. Baker and Julian C. Baker, each of whom has the same business address as Baker/Tisch Capital (GP), LLC and may be deemed to have a pecuniary interest in securities owned by it.

(2) Julian C. Baker is a director of the Issuer.

(3) Because of certain business and family relationships with other security holders of the Issuer, the Reporting Persons are filing solely for informational purposes as if they were members of a group with such security holders. However, the Reporting Persons disclaim that they and any other person or persons in fact constitute a "group" for purposes of Section 13(d)(3) of the Securities Exchange Act of 1934, as amended, or Rule 13d-5 thereunder or that they are the beneficial owner of securities owned by such other persons.

(4) Represents shares owned directly by Baker/Tisch Investments, L.P., the sole general partner of which is Baker/Tisch Capital, L.P., a limited partnership of which the sole general partner is Baker/Tisch Capital (GP), LLC. Felix J. Baker and Julian C. Baker are the controlling members of Baker/Tisch Capital (GP), LLC.

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

BAKER/TISCH CAPITAL (GP), LLC JULIAN C. BAKER /s/ Julian C. Baker
by Julian C. Baker, Managing Member of
Baker/Tisch Capital (GP), LLC
\*\*Signature of Reporting Person

9/6/02

FELIX J. BAKER

/s/ Felix J. Baker
\*\*Signature of Reporting Person
Date

Page 2

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	<b>M</b> 4	Check this box if no longer
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION= Washington, D.C. 20549

3235-0287

OMB Number:

OMB APPROVAL

PROCESSING

		_				
Expires: September 30, 1998 Estimated average burden U hours per response		6 Khakhahhoof-Reporting Person(s) to Issuer	(Check all applicable)		(give title below) (specify below) and CEO	Board
	e Puttie Utility 69	6 Reisthathip-of-R	(Ch	X Officer	President and CEO	Chairman of the Board
STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP	Figure 10 Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Purish Utility (3) Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1935 or Section 30(f) of the Investment Company Act of 1935	2. Issuer Name and Ticker or Frading Symbol	Applied Micro Circuits Corporation (AMCC)	3. I.R.S. Identification 4. Statement for	Number of Reporting Month/Year Person, if an entity	(Voluntary) October 2000
STATEMEN	Filed pursuant to Section Holding Compan			(Middle)		
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.	(Print or Type Responses)	1 Name and Address of Reporting Person*	Rickey, David M.	(Last) (First)	6290 Sequence Drive	(Street)

7. Individual or Joint/Group Filing (Check Applicable Line)

X Form filed by One Reporting Person
Form filed by More than One Reporting Person

Date of Original (Month/Year) 5. If Amendment,

(diZ)

(State)

San Diego, CA. 92121

(City)

Tritle of Security   2.17 ans		(State) (Zip)		Table	I - Non-Deriv	vative Se	curities A	cauired Disnos	sed of or Beneficia	ally Owned		
1016/00   M   2.78   Accurries Acquired (A)   Scarnics action   Code	1 Title of Committee							-1	ed et, et Denemen	any Owned		_
CMONTH	(Instr. 3)		. Trans- action Date	3. Transaction	á	es Acquir osed of (D , 4 and 5)	ed (A)		5. Amount of Securities Beneficially	6. Owner- ship Form:	7. Nature of Indirect Beneficial	
Pay/   Code   V   Amount   (D)   Price   (Instr. 3 and 4)   (Infined (Instr. 3) and 4)   (Instr. 6)   (Instr. 7)   (Instr. 6)   (Instr. 6)   (Instr. 6)   (Instr. 6)   (Instr. 7)   (Instr. 6)   (Instr. 6)   (Instr. 7)   (Instr. 6)   (Instr. 7)   (Inst			(Month/	(unstr.	8)				Owned at End of Month	Direct (D) or	Owner-	
10/16/00   M			Day/ Year)	Code			(A) or (D)		(Instr. 3 and 4)	Indirect		
10/16/00   S	Common Stock		10/16/00	Σ		513		\$5.90625		(msu. 4)	(Instr. 4)	
10/16/00         M         26,487         A         \$12,96875         D           10/16/00         S         26,487         D         \$178,20000         152,806         D           10/09/00         M         2,821         A         \$5,90625         D         I           10/16/00         G         V         14,000         D         12,500         I	Common Stock		10/16/00	s		10,513		\$178.20000		a		
10/16/00         S         26,487         D         \$178.2000         152,806         D           10/09/00         M         2,821         A         \$5.90625         I         I           10/16/00         G         V         14,000         D         12,500         I	Common Stock		10/16/00	Σ			\ \ \	\$12.96875		Q		
10/09/00         M         2,821         A         \$5.90625         I           10/16/00         G         V         14,000         D         12,500         I	Common Stock		10/16/00	s				\$178.20000	152,806	O		
16/16/00 G V 14,000 D 12,500 I	Common Stock.		10/09/00	Σ				\$5.90625		1	by Family Trust	
10/16/00 G V 14,000 D 12,500 I	Common Stade			1								- !
	Continue Stock		10/16/00	Ů					12,500	I	by Family Trust	
											3125	
											694 	
	. Door Door										9	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. \*If the form is filed by more than one reporting person, see Instruction 4(b)(v) Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Page 1 of 3 pages SEC 1474 (7-97)

#### FORM 4 (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g. puts, calls, warrants, options, convertible securities)

1 Tille of Derivative Committee	2 6				-			, remained the second times					
(Instr. 3)	2. Conversion action Exercise Date Price of Derice (Month/vative Day/security Year)		4. Trans- action Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exer- cisable and Expiration D (Month/Day/ Year)	Date y/	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	ities	8. Price of Derivative Security	9. Number of derivative Securities Bene-	1	11. Nature of Indirect Benefi- cial Owner- ship
			:			Date	Expira-		A mount or	5)		(D) or Indirect (I)	(Instr. 4)
			Code V	(A)	(D)	e v	tion Date	Title	Number of Shares		Month (Instr. 4)	(Instr. 4)	•
Incentive Stock Option (right to buy)	\$2.95313	10/09/00	Σ		2,821	(E)	03/27/08	03/27/08 Common Stock	2,821		50,774	Q	
Non-Qualified Stock Option (right to buy)	\$2.95313	10/16/00	M		10,513	3	03/27/08	03/27/08 Common Stock	10,513		189,224	Q	
Non-Qualified Stock Option (right to huy)	\$6.48438 10/16/00	10/16/00	Σ		26,487	(2)	04/23/09	04/23/09 Common Stock	26,487		800,360	Q	
					·								

Explanation of Responses:

See continuation page(s) for footnotes

. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

\*\*Signature of Reporting Person

SEC 1474 (7-97)

Page 2 of 3 pages

Document 1-3

Applied Micro Circuits Corporation (AM CC)

October 2000

. San Diego, CA. 92121 6290 Sequence Drive Rickey, David M.

- Option vests in 48 equal monthly installments beginning on April 30, 1998. € €
- Option vests as to 25% of the shares on March 31, 2000 and 1/48 per month thereafter.
- On October 30, 2000, the common stock of Applied Micro Circuits Corporation split 2-for-1. The reporting person's end of period holdings reflects this stock split, however, transactions reported for October reflect pre-split figures.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHOP

Expires: September 30, 1998

OMB Number:

OMB APPROVAL

Estimated average burden

hours per response....

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility
Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 194031, D.C.

7. Individual or Joint/Group Filing (Check Applicable Line) (specify below) Nature of **Bene**ficial Form filed by More than One Reporting Person (Instr. 4) 10% Owner Owner-6. Relationship of Reporting Person(s) to Issuer X\_Form filed by One Reporting Person Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned X Director ship Form: Direct (D) or Indirect (Instr. 4) 6. Owner-Ω Q a Ω Ω (give title below)
President and CEO Chairman of the Board (Instr. 3 and 4) End of Month Beneficially Ófficer Amount of Securities Owned at \$5.90625 \$5.90625 \$12.96875 \$139.06250 \$12.96875 \$139,06250 \$136,50000 Price ;?· 4. Securities Acquired (A) (A) of (D) Applied Micro Circuits Corporation (AMCC) ⋖ 4 Ω Date of Original (Month/Year) or Disposed of (D) (Instr. 3, 4 and 5) ⋖ ⋖ 2. Issuer Name and Ticker or Trading Symbol 5. If Amendment, 4. Statement for August 2000 Month/Year 17,429 5,256 100 1,411 5,256 10,000 3,333 3,333 10,000 Amount Number of Reporting 3. I.R.S. Identification > > > (Instr. 8) Person, if an entity action Trans-Code Code Ġ ڼ Σ Σ (Voluntary) Σ S S Σ S Transaction (Month/ 08/04/00 07/25/00 07/25/00 08/07/00 08/04/00 08/04/00 08/04/00 08/04/00 38/04/00 Date Day/ Year) (Middle) (Zip) Name and Address of Reporting Person\* (Street) (State) (First) San Diego, CA. 92121 6290 Sequence Drive Rickey, David M. Title of Security Jonmon Stock Common Stock ommon Stock Jommon Stock ommon Stock ommon Stock OITHING Stock ommon Stock ommon Stock (Instr. 3) (Last)

inder: Report on a separate line for each class of securities beneficially owned directly or indirectly. \*If the form is filed by more than one reporting person, see Instruction 4(b)(v). ntial persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Page 1 of 5 pages SEC 1474 (7-97)

\$137.71880

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08/04/00

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Form 5 obligations may continue.

rint or Type Responses)

See Instruction 1(b).

subject to Section 16. Form 4 or

Check this box if no longer

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g. puts, calls, warrants, options, convertible securities)

)RM 4 (continued)

Title of the control		Г		,	. d . (	no (omo	111111	of the security of the securities)					
(Instr. 3)	Sion or action Exercise Date Price of CMonth/ vative Day/ Security Year)		4. Trans- action Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	of Deriv- urities (A) or of (D) t, and 5)	6. Date Exercisable and Expiration D (Month/Day/Year)	Date y/	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8	8. Price of Derivative Security		ship of Innature ship of Indirect Derive Beneficative Security: Owner-Direct ship	I. Nature of Indirect Benefi- cial Owner- ship
						Date	Expira-		A mount or	5)	Owned at End of	(D) or Indirect (I)	(Instr. 4)
			Code V	ર્	(e)	9	tion Date	Title	Number of Shares		Month (Instr. 4)	(Instr. 4)	
centive Stock Option (right to buy)		\$5.90625 08/02/00	M		1,411	(1)	03/27/08	03/27/08 Common Stock	1,411		28,208	a	
on-Qualified Stock Option (right to	\$5.90625	08/04/00	×		5,256	(1)	03/27/08	03/27/08 Common Stock	5,256		105,125	Q	
on-Qualified Stock Option (right to	\$12.96875	08/04/00	Σ		3,333	(2)	04/23/09	04/23/09 Common Stock	3,333			Q	
on-Qualified Stock Option (right to	\$12.96875 08/04/00	08/04/00	Σ		10,000	(2)	04/23/09	04/23/09 Common Stock	10,000		426,667	a	
									-				
				-									
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(planation of Responses:							1						

\*\*Signature of Reporting Person

Date

Page 2 of 5 pages

SEC 1474 (7-97)

ote: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

ee continuation page(s) for footnotes

tential persons who are to respond to the collection of information contained in this form are not quired to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Expires: September 30, 1998
Estimated average burden

OMB APPROVAL

OMB Number:

76							, u	MACLI S		
. Induite and Address of Reporting Person*		. Issuer N	ame and	l Ticke	Issuer Name and Ticker or Trading Symbol		6. R	6. Relationship of Reporting Person(s) to Issuer	g Person(s) to	Issuer
Rickey, David M.		Applied	Micro C	: Jreuit	Applied Micro Circuits Corporation (AMCC)			Director	applicable)	10% Owner
(Last) (First)	(Middle) 3.	. I.R.S. Identification	entificat	ion	4. Statement for	(2)		Officer		Other
6290 Sequence Drive		Number of Reporting Person, if an entity	of Repo f an enti	rting	Month/Year			(Bive tille below)		(specify below)
(Street)		(Voluntary)	<u>2</u>		August 2000					
San Diego, CA. 92121			;		5. If Amendment, Date of Original (Month/Year)	t, ial	7. Inc	7. Individual or Joint/Group Filing (Check Applicable Line) ——Form filed by One Reporting Person ——Form filed by More than One Reporting Person	p Filing (Checkeporting Personant Chan One Reporting Personant Chan Chan Chan Chan Chan Chan Chan Chan	k Applicable Line) on orting Person
(City) (State) (	(Zip)		Table	e I - N	on-Derivative S	ecurities .	Acquired, Disp	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned	ally Owned	
Title of Security	2.	2. Trans-	3. Trans-		4. Securities Acquired (A)	red (A)		S Amount of	k O	3. 2
(Instr. 3)			action		or Disposed of (D)	(x) (a) (a)		Securities	ship	Indirect
		Month/	(Instr. 8)	8	(man: 5) + and			Owned at	Porm:	Beneficial Owner-
		Day/				(A) or		Cheff 3 and 4)	Indirect	dius
	•	(	Code	>	Amount	; (e)	Price	(+ mon: 5 data +)	(I) (Instr. 4)	(Instr. 4)
ommon Stock	<u>.</u>	08/04/00	Ŋ	>	43,284	Q			a	
ommon Stock	80	08/02/00	IJ	>	397	Q			a	
ommon Stock	ő	00/60/80	S	<b>†</b>	30,000	Q	\$154.68750		D	
ommon Stock	10	08/14/00	IJ	>	16,368	D		76,403	Q	
ommon Stock	.0	01/25/00	J	>	17,429	Ą		17,429	1	by Family Trust
				1						
				1						

(Over)
Page 3 of 5 pages SEC 1474 (7-97)

nder: Report on a separate line for each class of securities beneficially owned directly or indirectly. \*If the form is filed by more than one reporting person, see Instruction 4(b)(v). itial persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

IRM 4 (continued)

1 - Derivative Securities Acquired, Disposed of, or Beneficially Owned	(e.g. puts, calls, warrants, options, convertible securities)
Spo	. io
quired, Di	options,
curities Ac	warrants,
erivative Se	puts, calls,
₹ •	ونه ده

Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans- action Date (Month/ Day/ Year)	4. Trans- action Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	of Derivurities (A) or of (D) 4, and 5)	6. Date Exercisable and Expiration D. (Month/Day/ Year)	Date	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	t of ities	8. Price of Derivative Security	9. Number of derivative Securities Benefically	10. Owner- 11. Nature ship of Form of Indirect Derive ative cial Security: Owner- Direct ship	1. Nature of Indirect Benefi- cial Owner-
						Date	Expira-		A mount or	ંડ	Owned at End of	(D) or Indirect (I)	(Instr. 4)
			Code V	(A)	(D)	v	tion Date	Title	Number of Shares		Month (Instr. 4)	(Instr. 4)	
(planation of Responses:												-	

Dail M. Rike

\*\*Signature of Reporting Person

Page 2 SEC 1474 (7-97) Page 4 of 5 pages

eter File three copies of this Form, one of which must be manually signed. If space is insufficient, Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). see Instruction 6 for procedure.

ce continuation page(s) for footnotes

tential persons who are to respond to the collection of information contained in this form are not quired to respond unless the form displays a currently valid OMB Number.

Option vests in 48 equal monthly installments beginning on April 30, 1998.

Option vests as to 25% of the shares on March 31, 2000 and 1/48 per month thereafter.

= 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

### SECHANI L STATEMENT OF CHANGES IN BENEFICIAL OWNERSHI

Form 5 obligations may continue. Check this box if no longer subject to Section 16. Form 4 or

See Instruction 1(b).

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Spires: September 30, 1998 Spirmated average burden Mours per response.....0.5

3235-0287

dMB Number:

OMB APPROVAL

Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1947 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Publ

1. Name and Address of Reporting Person*	2. Issuer Name and Ticker or Trading Symbol 6. Relationship of	2. Issuer N	ame and	Ticker or T	2. Issuer Name and Ticker or Trading Symbol	iein Compar ol	y Act of 1940	6. Relationship of Reporting Percon(s) to Issuer	9 Person(s) to	Icener
Rickey, David M.		Applied	Micro C	irenite Car	Applied Micro Circuits Corporation (AMCC)	(J)		(Check al	(Check all applicable)	198c Owner
(Last) (First)	(Middle)	3. I.R.S. Identification	lentificati	ion 4.	4. Statement for	(22)				Other
6290 Sequence Orive	•	Number	Number of Reporting	3	Month/Year		<del></del>	(give title below)		(specify below)
(Street)		(Voluntary)	rerson, ii an entity (Voluntary)		July 2000		Pres	President and CEO		
San Diego, CA. 92121				5.1	5. If Amendment, Date of Original (Month/Year)	nal	7. Indi	7. Individual or Joint/Group Filing (Check Applicable L. X. Form filed by One Reporting Person Form filed by More than One Reporting Person		(Check Applicable Line) Person Reporting Person
(City) (State)	(Zip)		Table	I - Non-D	erivative S	ecurities A	cquired, Dispos	Table I · Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned	ally Owned	
I. Title of Security (Instr. 3)	2	Trans- action Date	3. Trans- action Code (Instr. 8)	(8	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	ired (A) D)		S. Amount of Securities Beneficially Owned at	6. Owner- ship Form: Direct	7. Nature of Indirect Beneficial Owner-
		Day/ Year)	Cody			(A) or		End of Month (Instr. 3 and 4)	(D) or Indirect (I)	drys
Common Stock		00/11/00	Z	-	10,000	(C) V	\$5.90625		(Instr. 4)	(Instr. 4)
Common Stock		02/11//00	S		10,000	Q	\$159.80780		Q	
Common Stock		00/11/00	Æ		70,000	\ \ \	\$12,96875		a	
Common Stock		00/11//00	S	-	70,000	q	\$159.80780		a	
Common Stock		00/11/100	5	>	100,000	Q			a	
Common Stock		00/11/20	×		15,000	V	\$5.90625		O	
Common Stock		07/20/00	Σ		15,000	V	\$5.90625		a	31
Common Stock	9	07/20/00	Σ		20,000	V	\$5.90625		Q	1862
Common Stock	0	07/20/00	S		20,000	a	\$158.15630		Q	280
Common Stock	0	07/21/00	Σ		100,000	\ \ \	\$5.90625		Q	
		_						ann again		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. \*If the form is filed by more than one reporting person, see Instruction 4(b)(v). Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(Over) Page 1 of 5 pages SEC 1474 (7-97)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

FORM 4 (continued)

(e.g. puts, calls, warrants, options, convertible securities)

			(c.e. ham)	s, cans, war	ants, opti	ons, con	vertible	caus, warrants, options, convertible securities)					
(Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans- action Date (Month/ Day/ Year)	4. Trans- action Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	of Deriv- inties (A) or of (D) 4, and 5)	6. Date Exercisable and Expiration D (Month/Day/Year)	Date Exercisable and Expiration Date (Month/Day/Year)	7 Title and Amount of Underlying Securities (Instr. 3 and 4)	of ties	8. Price of Derivative Security	9. Number of derivative alive Securities Bene-fically	10. Owner- 1 ship Form of Deriv- ative Security:	11. Nature of Indirect Beneficial Ownership
						Date	Expira-		A mount or	્ડ	Owned at End of	(D) or Indirect (I)	(Instr. 4)
			Code	(4)	(Q)	ပ	tion Date	Title	Number of Shares		Month (Instr. 4)	(Instr. 4)	
Incentive Stock Option (right to buy)	\$5.90625	00/110/00	M		15,000	8	03/27/08	03/27/08 Common Stock	15,000			Q	
Incentive Stock Option (right to buy)	\$5.90625	02/20/00	Z		15,000		03/27/08	Common Stock	15,000			   A	
Incentive Stock Option (right to buy)	\$5.90625	07/24/00	M		8,081		03/27/08	03/27/08 Common Stock	8,081		29,619	Q	
Non-Qualified Stock Option (right to buy)	\$5.90625	00/11//00	×		10,000		03/27/08	03/27/08 Common Stock	10,000			q	
Non-Qualified Stock Option (right to buy)	\$5.90625 07/20/00	07/20/00	Σ		20,000		03/27/08	03/27/08 Common Stock	20,000			Q	
Non-Qualified Stock Option (right to buy)	\$5.90625	07/21/00	Σ		100,000		03/27/08	03/27/08 Common Stock	100,000			۵	
Non-Qualified Stock Option (right to buy)	\$5.90625	07/25/00	M		616,11		3/27/08 C	03/27/08 Common Stock	11,919		110,381	Q	
Non-Qualified Stock Option (right to buy)	\$12.96875 07/17/00	00/11/00	×		70,000		04/23/09 C	04/23/09 Common Stock	70,000		440,000	o o	
		1	-			_		_					

Explanation of Responses:

See continuation page(s) for footnotes

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Polential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

\*\*Signature of Reporting Person

Page 2 of 5 pages

SEC 1474 (7-97)

1. Name and Address of Reporting Person

Rickey, David M.

(Last)

See Instruction 1(b). (Print or Type Responses)

Form 5 obligations may continue.

Check this box if no longer subject to Section 16. Form 4 or

(Street)

6290 Sequence Drive

(State)

San Diego, CA. 92121

(City)

1. Title of Security

(Instr. 3)

Common Stock

(First)

(continued)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. \*If the form is filed by more than one reporting person, see Instruction 4(b)(v). Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Page 3 of 5 pages SEC 1474 (7-97)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g. puts, calls, warrants, options, convertible securities)

			(crnd .9.a)		i ants, opt	1011S, COL	vertible	cans, warrants, opinons, convertible securities)					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans- action Date (Month/ Day/ Year)	4. Trans- action Code (Instr. 8)	5.	Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration 1 (Month/Day Year)	Date y/	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	rities	8. Price of Derivative Sccurity	9. Number of derivative Securities Bene-		11. Nature of Indirect Benefi- cial Owner-
						Date	Exnira-			5)	Owned at End	(D) or Indirect	(Instr. 4)
			Code V	(A)	(D)	ა	tion Date	Title	Number of Shares		Month (Instr. 4)	(Instr. 4)	
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Explanation of Responses:													

See confinuation page(s) for footnotes

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number. see Instruction 6 for procedure.

\*\*Signature of Reporting Person

Page 4 of 5 pages

SEC 1474 (7-97)

Applied Micro Circuits Corporation (AM CC) July 2000

San Diego, CA. 92121 -6290 Sequence Drive Rickey, David M.

- Option vests in 48 equal monthly installments beginning on April 30, 1998.
- The end of period holdings includes 13 shares acquired under the AMCC 1998 Employee Stock Purchase Plan on July 31, 2000.

€8.

Option vests as to 25% of the shares on March 31, 2000 and 1/48 per month thereafter.

#### **FORM 4**

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

(Print or Type Responses)

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Expires: September 30, 1998

Estimated average burden

hours per response . .

3235-0287

OMB Number:

OMB APPROVAL

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 of Section 30(f) of the Investment Company Act of 1940

7. Individual or Joint/Group Filing. (Check Applicable Line) (specify below) Beneficial Nature of Form filed by More than One Reporting Person Indirect (Instr 4) 10% Owner Омпет-Ship 6. Relationship of Reporting Person(s) to Issuer Other X Form filed by One Reporting Person Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned (Check all applicable) (Instr. 4) Indirect 6. Owner Direct (D) or Form. = \_ \_ <u>\_</u> <u>\_</u>  $\Box$ **=** (give title below) 283,968 (Instr. 3 and 4) End of Month President and CEO Director Beneficially 5. Amount of Officer Owned at \$117.81250 \$104.93750 \$101.04170 \$112,33750 \$109.29460 \$99.99150 \$107.56250 Price 4. Securities Acquired (A) (A) or (D) or Applied Micro Circuits Corporation (AMCC) = \_ \_ **=** 2. Issuer Name and Ticker or Trading Symbol Date of Original (Month/Year) or Disposed of (D) If Amendment (Instr. 3, 4 and 5) 4. Statement for Month/Year 30,000 50,000 35,000 10,000 60,000 10,000 55,000 May 2000 Amount Number of Reporting 3. L.R.S. Identification > Person, if an entity (Instr. 8) 3 Transaction Code Code (Voluntary) S, x, 'n S. S. v, v. Trans-(Month/ 05/05/00 05/12/00 05/15/00 09/16/00 05/17/00 05/18/00 09/36/00 Date Year) Day/ (Middle) (Zip.) LOUN N.2 2000 RECEIVED 1. Name and Address of Reporting Person? (Street) (State) San Diego, CA. 92121 6290 Sequence Drive Rickey, David M. 1. Title of Security Common Stock (Instr. 3) (Last) (City)

Remander: Report on a separate line for each class of securities beneficially owned directly or indirectly. Withe form is filed by more than one reporting person, see Instruction 4(b)(v). Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB coursol number.

(Over) SEC 1474 (7-97)

FORM 4 (continued)

Security Year)  Security Year)  Code V (A) (D) Especial Code V (A) (D) Especia	1. Title of Derivative Security	7 Cambridge	2 %	1										
Code   V   (D)	(Instr. 3)	Exercise Prece of Deri- vative Security	action Date (Month/ Day/ Year)	<del>1</del>	<u>A</u>	of Deriv- virties 1(A) or 1 of (D) 4, and 5)	6. Date Ecrable crsable Expirat (Month, Year)	Date st	7. Title and Amou Underlying Sec (Instr. 3 and 4)	urities		9. Number of derivative Securities Bene-	ship Form of Deriverative Security:	11 Nature of Inducet Benefi- cial Owner-
Code V (A) (D) credit Date Shares (Instr. 4)  Shares (Instr. 4)  Shares (Instr. 4)			t.	,				HV N. P.			(5)	Owned at End		(Instr. 4)
					(A)	(G)		tion Date	Title	Amount or Number of Shares	- Oddara - Williams	Month (Instr. 4)	(Justr. 4)	
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Date

\*\*Signature of Reporting Derson

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number. see Instruction 6 for procedure.

Note: File three copies of this Form, one of which must be manually signed. It space is insufficient,

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

SEC 1474 (7-97)

Case 3:07-cv-02917-MJJ Document 1-3 Filed 06/05/2007\_

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**FORM 4** 

### Washington, D.C. 20549

OMB Number: 3235-0287

OMB APPROVAL

hours per response . . . . 0.5 Expires: September 30, 1998 Estimated average burden Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940 Form 5 obligations may continue. subject to Section 16. Form 4 or Check this box if no longer (Print or Type Responses) See Instruction 1(b).

Rickey, David M.  (Last)  (Last)  (Street)  San Diego, CA. 92121  (City)  (City)  (State)  (State)  (City)  (State)  (City)  (Instr. 3)  ACCOURC  Common Stock  Common Stock  Common Stock	(Middle) 3. (Zip) 1. (Ny	3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)  Table I - P  Trans- 3. Trans- action Date (Instr. 8) (Month/ Code (Instr. 8) Year)  Code V	Applied Micro Circuits Corporation (AMCC)  I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)  S. If Amendment, Date of Original (Month/Year)  Table I - Non-Derivative Securiti (Instr. 8)  Code (Instr. 3, 4 and 5)  Amount (A) or (D) or (	MCC)  In the line of the line	X X X Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	Check all applicable	(Check all applicable) ctor 16 cer 0 s title below) (s CEO cin/Group Filing (Check by One Reporting Person by More than One Report Beneficially Owned tof 6. Owner-ship es ship	10% Owner Other (specify below ck Applicable Li on orting Person 7. Nature of Indirect Beneficial Owner- ship
Drive (Stree	(Middle) 3. (Zip) 2. 7. 2. 7. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4.	Table I - P  Table I - P  Table I - R  Trans- action Code (Instr. 8) G v	4. Securities Acquor Or Disposed of (Instr. 3, 4 and 4 Amount	nt, inal ) Securities Acqui	Preside 7. Individ X. Fo Red, Disposed	Give title belov (give title belov dual or Joint/Group orm filed by One Romm filed by More to a filed by Mor	w) (s) (s) (s) (s) (s) (s) (s) (s) (s) (s	Cowner (specify below)  Sk Applicable Line on orting Person  7. Nature of Indirect Beneficial Ownership
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		~ <b>  </b>	Amount			17		Owner- ship
			Amount			Cwiled at	Direct	dius
Common Stock Common Stock		-	· ·	(A) or (D)	Price	(Instr. 3 and 4)	(D) or Indirect (I) (Instr 4)	(Instr 4)
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					19	386	107	
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. \*If the form is filed by more than one reporting person, see Instruction 4(b)(v). Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (7-97)

Page 17 of 46

ve Securities Acquired, Disposed of, or Beneficially Owned	Acres tition
ivative Securities Ac	its, calls, warrants.
Table II - Derivative	(e.g. pu

						do (caren	ional con	וואכווווו	merchanical opinions, conversible securities)					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans- action Date (Month/ Day/ Year)	4. Trans- action Code (Instr.	. Trans- action Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	of Derivurities (A) or of (D) 4, and 5)	6. Date Exercisable and Expiration (Month/Da/Year)	Date	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	S	8. Price of Derivative Security	9. Number of derivative Securities Benerically		11. Nature of Indirect Benefi- cial Owner-
						-	Date	Expira-		Amount	5)	Owned at End	(D) or Indirect	(Instr. 4)
	•		Code	۸	(A)	(Q)	v	tion Date	Title	Number of Shares		(Instr. 4)	(Instr. 4)	
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Explanation of Responses:											1			

5-5-52 \*\*Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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SEC 1474 (7-97)

### FORM 4

Form 5 obligations may continue.

(Print or Type Responses) See Instruction 1(b).

subject to Section 16. Form 4 or Check this box if no longer

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility

nours per response . . . . . 0.5 Expires: September 30, 1998

Estimated average burden

3235-0287

OMB Number:

OMB APPROVAL

Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

7. Individual or Joint/Group Filing (Check Applicable Line) (specify below) Beneficial Nature of Form filed by More than One Reporting Person 10% Owner (Instr. 4) Owner-Indirect 1063122 6. Relationship of Reporting Person(s) to Issuer Other X\_Form filed by One Reporting Person Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned (Check all applicable) (Instr. 4) (D) or Indirect Ownership Form: Direct Q Ω Ω Ω Ω Ω (give title below) 329,478 (Instr. 3 and 4) President and CEO End of Month Director Beneficially Officer Amount of Securities Owned at \$230.24630 \$218,66250 \$230.87500 \$25.93750 \$224.36440 \$228.06250 \$224.36440 \$232.25000 Price 4. Securities Acquired (A) (A) (D) (A) Applied Micro Circuits Corporation (AMCC) a 2. Issuer Name and Ticker or Trading Symbol Date of Original (Month/Year) ₹ February 2000 or Disposed of (D) 5. If Amendment, (Instr. 3, 4 and 5) Statement for Month/Year 42,500 25,000 15,000 65,000 65,000 10,000 7,500 7,500 Amount Number of Reporting 3. I.R.S. Identification > Person, if an entity (Instr. 8) action Trans-Code Code (Voluntary) Ś S S Σ S S S S (Month/ Transaction 02/11/00 02/15/00 02/14/00 02/15/00 05/12/00 Date 02/15/00 02/15/00 02/16/00 Day/ Year) (Middle) (Zip) 1. Name and Address of Reporting Person\* (Street) (State) San Diego, CA. 92121 6290 Sequence Drive Rickey, David M. 1. Title of Security Common Stock (Instr. 3) (Last) (City)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. \*If the form is filed by more than one reporting person, see Instruction 4(b)(v). Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(Over) SEC 1474 (7-97)

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FORM 4 (continued)

Beneficially Owned (e.g. puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	,				J . L	10113, 101	iveitible	es) ob coms, convertible securities)					
(Instr. 3)	2. Conversion or Exercise Price of Deri-	3. Trans- action Date (Month/	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration D (Month/Day/Year)	Date y/	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	nt of irities	8. Price of Derivative	riv.	of er	II. Nature of Indirect Benefi-
	Security	Vear)			•	Ì				Secur- ity (Instr	ities Bene- fically	ative Security: Direct	cial Owner- shin
						Date	μ 2			5)	Owned at End	(D) or Indirect	(Instr. 4)
			Code	(A)	ê	ə	tion Date	Title	Amount or Number of Shares		Month (Instr. 4)	(I) (Instr. 4)	
Non-Qualified Stock Option (right to buy)	\$25.93750	02/15/00	M		65,000		04/23/09 C	04/23/09 Common Stock	65.000	65.000 224.36440	255 000	-	
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\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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\*\*Signature of Reporting Person

Page 2 SEC 1474 (7-97)

Filed 06/05/2007 Page 21 of 46

#### hours per response . . . . . 0.5 Expires: September 30, 1998 Estimated average burden 3235-0287 OMB APPROVAL OMB Number:

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Form 5 obligations may continue. subject to Section 16. Form 4 or

(Print or Type Responses) See Instruction 1(h).

Check this box if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Dangaine D.	pairy Act 01 193.	or section	30(t) of the Investm	et of 1933 of Section 30(f) of the Investment Company Act of 1940		٠	
Coloning Person*	2. Issuer Na	me and Tick	Issuer Name and Ticker or Trading Symbol	lo	Relationship o	ing Person(s) to Issuer	ſ
Rickey, David M.	Annlied	Giomo Ottoria		i	(Check	(Check all applicable)	
(Last) (Middle)	3. L.R.S. Ide	R.S. Identification	I.R.S. Identification 4 Constitution (AMCC)	MCC)	X Officer	10% Owner	
6290 Sequence Drive	Number o	Number of Reporting	Month/Year		(give title below)	low) Other (specify below)	(wc
(Street)	(Voluntary)	an enuty y)	January 2000		President and CEO		
San Diego, CA. 92121			5. If Amendment, Date of Original (Month/Year)	l, al	7. Individual or Joint/Group Filing (Check X Form filed by One Reporting Person	up Filing (Check Applicable Line) Reporting Person	Line)
(City) (State) (Zip)		1.1.2			Form filed by Mor	rorm filed by More than One Reporting Person	
Title of Security		rante 1 - C	Von-Derivative S	ecurities Acquired,	table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned	cially Owned	
(Instr. 3)	Ж	Trans- action	4. Securities Acquired (A) or Disposed of (D)	ed (A)	5. Amount of	1	]_j
	Date	Code	(Instr. 3, 4 and 5)		Securities   Beneficially	ship Indirect Form: Beneficial	~
	(Month/	(msm. o)			Owned at End of Month		<del></del>
	Day/ Year)			(A) or	(Instr. 3 and 4)	Indirect	
Common Stock	12/23/99	code v	Amount	(D) Price		(Instr. 4) (Instr. 4)	
Common Stock							
Commission Stock	01/14/00	S	75,000	D \$144.	\$144.59580	a	
Солитоп Stock	01/18/00	S	20,000	D \$144.	\$144.98440		
Common Stock	01/18/00	> 9	50,000	a			
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stential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. eminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. \*If the form is filed by more than one reporting person, see Instruction 4(b)(v).

(Over) Page 1 of 3 pages SEC 1474 (7-97)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g. puts, calls, warrants, options, convertible securities)

			(c.g. pu	LS, Calls	(e.g. puts, calls, Warrants, options, convertible securities)	ptions, co	nvertible	securities)					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans- action Date (Month/ Day/ Year)	4. Trans- action Code (Instr. 8)	S. Nu ati	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration (Month/Day Year)	Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	t of rities	8. Price of Derivative Security	H. C.	10. Owner- I ship Form of Derivative Security:	11. Nature of indirect Benefi- cial Owner-
						Date	Expira-		an in Car	<b>5</b> ) £	Owned at End of	(D) or Indirect (I)	(Instr. 4)
			Code	(A)	Q (2)	Exer- cisable	tion Date	Title	Number of Shares		Month (Instr. 4)	(Instr. 4)	
Non-Qualified Stock Option (right to \$143,93800 buy)	\$143,93800	01/19/00	V	V 1,0(	1,000,000	(E)	01/19/10	01/19/10 Common Stock	1,000,000		1,000,000	۵	
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Explanation of Responses:													

See confinuation page(s) for footnotes

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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1

\*\*Signature of Reporting Peryon

Date

Page 2 of 3 pages

SEC 1474 (7-97)

Option vests in 48 monthly installments beginning on January 31, 2000.

Case 3:07-cv-02917-MJJ

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Filed 06/05/2007

Page 24 of 46

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility

Form 5 obligations may continue

See Instruction 1(b)

Check this box if no longer subject to Section 16. Form 4 or

FORM 4

0.5 3235-0287 Expires September 30, 1998 Estimated average burden OMB APPROVAL hours per response ... OMB Number.

3094*6*560 7 Individual or Joint/Group Filing (Check Applicable Line) (specify below) by Daughter 1 by Daughter 2 by Daughter 3 Beneficial Nature of Form filed by More than One Reporting Person (Instr 4) 10% Owner Indurect Омпегby Daugh' dius 6 Relationship of Reporting Person(s) to Issuer Other X\_Form filed by One Reporting Person Fable I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned (Check all applicable) 4 (D) or Indirect 6 Owner Direct ship Form (Instr Ω 9 (give title below) DECI O 1993 Owned at End of Month (Instr 3 and 4) Carried Street, President and CEO 592 Director Beneficially Officer Amount of Securities 333 5000 \$82 50000 \$85 00000 **\$**92 75000, \$82 75000 \$82 75000 Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940 \$82. Price 4 Securities Acquired (A) (A) of (D) Applied Micro Circuits Corporation (AMCC) Ω November 1999 2 2 Issuer Name and Ticker or Trading Symbol Date of Onginal (Month/Year) or Disposed of (D) **a** 5 If Amendment, (Instr 3, 4 and 5) 4 Statement for Month/Year 25,000 50,000 25,000 25,000 1,200 1,200 1,200 1,200 Amount Number of Reporting 3 I R S Identification > > > 8 Person, if an entity action Trans-(Instr Code Code S ڻ ڻ (Voluntary) ŝ S S Ś G, Trans-11/23/99 action (Month/ 11/09/99 11/10/99 11/23/99 11/09/99 11/09/99 66/60/11 11/09/99 Date Day/ Year) (Middle) (Z1p) I Name and Address of Reporting Person\* (Sucet) (State) (Print or Type Responses) 6290 Sequence Drive San Diego, CA. 92121 Rickey, David M I Title of Security Common Stock (Insti 3) (Last) (City)

Remander Report on a separate line for each class of securities beneficially owned directly or indirectly \*If the form is filed by more than one reporting person, see Instruction 4(b)(v) Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

SEC 1

FORM 4 (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g. puts, calls, warrants, options, convertible securities)

		:	nd & a)	S, calls,	(e g puts, calls, warrants, options, convertible securities)	tions, cor	nvertible	securities)					
(Instr 3)	2 Conversion or Exercise Price of Dervalive Valive Security	3 Trans- action Date (Month/ Day/ Year)	4 Transaction action Code (Instr 8)		5 Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6 Date Exer- crable and Expiration D (Month/Day/ Year)	Date //	7 Title and Amount of Underlying Securities (Instr. 3 and 4)	nt of Intres	8 Pnce of Denvative Security	9 Number of denvauve Securities Bene-fically	10 Owner- Iship Form of Denvative Security Direct	II Nature of Indirect Benefical Ownership
			Code		(	Date Exer- ctsable	Expira- tion Date	Title	Amount or Number of Shares	5)	Owned at End of Month (Instr 4)	(D) or Indirect (I) (Instr 4)	(lastr 4)
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\*\*Signature of Reporting Person

Note File three copies of this Form, one of which must be manually signed. If space is insufficient, See 18 U S C 1001 and 15 U S C 78ff(a) see Instruction 6 for procedure

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number

SEC 1474 (7-97)

#### **FORM 4**

form 5 obligations may continue.

(Print or Type Responses) See Instruction 1(b).

subject to Section 16. Form 4 or Check this box if no longer

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

3235-028 Expires: September 30, 1998 Estimated everage burden OMB APPROVAL nours per response . . . . OMB Number:

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

for President and CEO

To Individual or Joint/Group Filing (Check Applicable)

To Individual or Joint/Group Filing Check Applicable

To Individual Or Nature Na of 46 Filed 06/05/2007 Page 26 30951678 (Instr. Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned (Instr. 4) Indirect Ownership Form: Direct (D) or 9 **C** ₾ Š 277,278 1,280 (Instr. 3 and 4) End of Month NDV 1 0 1999 Beneficially Amount of Securities Owned at RECEIVED \$66.65000 \$77.18750 Price 4. Securities Acquired (A) Applied Micro Circuits Corporation (AMCC) ĕ €@ 2. Issuer Name and Ticker or Trading Symbol Date of Original (Month/Year) 0 or Disposed of (D) (Instr. 3, 4 and 5) A = If Amendment. October 1999 4. Statement for Month/Year 20,000 20,000 20,000 25,000 25,000 25,000 30,000 Amount Number of Reporting 3. I.R.S. Identification > -Person, if an entity > (Instr. 8) > × Transaction Code ğ (Voluntary) Ç ڻ ٥ S v; Ś ڻ (Month/ Day/ Year) Trans-action 09/27/99 10/15/99 04/28/99 1977799 Date Date 16/29/99 10/26/99 10/29/99 (Middle) (Zjb) 1. Name and Address of Reporting Person\* (Street) (First) (State) 6290 Sequence Drive San Diego, CA. 92121 Rickey, David M. 1. Tide of Security Common Stock Cornmon Stock (Instr. 3) (Last) (City)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. \*If the form is filed by more than one reporting person, see Instruction 4(b)(v). Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Common Stock

Page 1 of 5 pages SEC 147.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g. puts, calls, warrants, options, convertible securities)

Non-Qualified Stock Option (right to \$25,93750 04/23/99	Code V							ity (Instr.	Bene- fically	Security: Direct
\$25.93750					Expira-	17.34	Amount or	رب ا	at End of Month	Indirect (1) (Instr. 4)
\$25.93750		€	<u>@</u>	Exer- cisable	tion Date	- IIIe	Shares		(Instr. 4)	
		166,000		Θ	04/23/09	04/23/09 Common Stock	160,000		320,000	6
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See continuation page(s) for footnotes

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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\*\*Signature of Reporting Person

Page 27 of 46

Date

Page 2 of 5 pages

SEC 147

(continued)

Form 5 obligations may continue.

See lastruction 1(b).

subject to Section 16. Form 4 or Check this box if no longer

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Expires: September 30, 199

Estimated average burden

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OMB Number:

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Co porting Person(s) to Issuer Co cck all applicable)

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(specify below) 7. Individual or Joint/Group Filing (Check Applicable Porm filed by One Reporting Person Form filed by More than One Reporting Person Document 1-3 (Instr. 4 6. Relationship of Reporting Person(s) to Issuer (Check all applicable) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned (I) (Instr. 4) (D) or Indirect 6. Owner ship Form: Direct (give title below) End of Month Director Beneficially Officer 5. Amount of Securities Owned at Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940 Price Applied Micro Circuits Corporation (AMCC) 4. Securities Acquired (A) \$ € 2. Issuer Name and Ticker or Trading Symbol Date of Original (Month/Year) or Disposed of (D) (Instr. 3, 4 and 5) 5. If Amendment, October 1999 4. Statement for Month/Year Amount Number of Reporting 3. I.R.S. Identification Person, if an entity (Voluntary) > € (Instr. 8 Transaction Code Code . Transaction (Month/ Date Year) (Middle) (Zip) 1. Name and Address of Reporting Person (Street) (First) (State) (Print or Type Responses) San Diego, CA. 92121 6290 Sequence Drive Rickey, David M. Title of Security Common Stock (Instr. 3) (Last) (City)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. \*If the form is filed by more than one reporting person, see Instruction 4(b)(v). Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMR control number

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Page 28 of 46

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\*\*Signature of Reporting Person

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FORM 4 (continued)

(e.g. puts, calls, warrants, options, convertible securities)

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	1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans- action Date (Month/ Day/ Year)	4. Trans- action Code (Instr. 8)		5. Number of Derivalive Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	f Deriv- nities (A) or of (D) , and 5)	6. Date Exercisable and Expiration Da (Month/Day/Year)	Date y/	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	it of ities	8. Price of Derivertive Security	9. Number of derivative Securities Beneficially	ship Form of Derivative ative Security:	Z 5 E & SO S
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See continuation page(s) for footnotes

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See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

Option vests as to 25% of the shares on March 31, 2000 and 1/48 per month thereafter. €.

On September 9, 1999, the common stock of Appiled Micro Circuits Corporation split 2-for-1. The reporting person's end of period holdings reflect this stock split.

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Check this box if no longe subject to Section 16. Form Form 5 obligations may ee

See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

hours per response ..... 0,5 3235-0287 Expires: September 30, 1998 Estimated average burden OMB APPROVAL OMB Number:

washington, D.C. 20549

SEATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP
Filed garden to Section 16(a) of the Securities Fuchanges

nt to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility

f 1940

6. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Check all applicable)

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(Specify Owner to Officer

(Specify below)

(S Filed 06/05/2007 Nature Indirect Denefician Owner-Ship Ship 1-3 Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned (Instr. 4) (D) or Indirect 6. Owner ship Form: Direct Δ (Instr. 3 and 4) End of Month Beneficially 5. Amount of Securities Owned at \$94.63130 895.68750 Price €(£) 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Applied Micro Circuits Corporation (AMCC) **a** a 2. Issuer Name and Ticker or Trading Symbol Date of Origina (Month/Year) 5. If Amendment, 4. Statement for August 1999 10,000 5,000 Month/Year Amount Number of Reporting > 3. I.R.S. Identification 6 Person, if an entity (Voluntary) action (Instr. 3. Trans-Sg Code S) 42 (Month/ Day/ Year) 08/23/99 2. Transaction 08/24/99 Date (Middle) (Zip) 1. Name and Address of Reporting Parson? (Street) (State) (First) Print or Type Responses) San Diego, CA. 92121 6290 Sequence Drive Rickey, David M. Title of Security Common Stock Common Stock (Instr. 3) (City) (Last)

Remander: Report on a separate line for each class of securities beneficially owned directly or indirectly. \*If the form is filed by more than one reporting person, see Instruction 4(bXv) and an interest of the form displace a commental valid OMB control number. 

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Page 32 of 46

\*\*Signature of Reporting Person

Table II - I (e.g.
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FORM 4 (continued)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans- action Date (Month/ Day/ Year)	4. Trans- action Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	of Deriverities (A) or of (D) 4, and 5)	6. Date Exercisable and Expiration Da (Month/Day/Year)	2	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	of ities	8. Price of Deriv- Bative Security		10. Owner- I ship Form of Deriv- ative Security: Direct	11. Nature of Indirect Benefi- cial Owners
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### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287
Expires: September 30, 1998
Estimated average burden
hours per response...... 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

Name and Address of D		Section 30(f) of the Investment Company Act of 1940	01 1223 0	r Section 31	)(f) of the Invest	ment Compar	1v Act of 1940	•		
terior and terior of reporting Person		2. 1s	suer Name	and Ticker	2. Issuer Name and Ticker or Trading Symbol	loqu	6 Re	6. Relationship of Rangaign Damon(a) to Land	O Damental	
Rickey, David M.		-		į	•			(Check al	(Check all applicable)	ISSEET
(Last)	(First) (Middle)	+	D S 11	ro Circuit	Applied Micro Circuits Corporation (AMCC)	AMCC)	1	X Director		10% Owner
6290 Sequence Drive		<u>-</u>	Number of Reporting	rication Leporting	4. Statement for Month/Year	k.	-	Officer (give title below)		Other (specify below)
	(Street)	3 to	(Voluntary)	enary	July 1999		된	President and CEO		
San Diego, CA. 92121		· · · · · · · · · · · · · · · · · · ·			5. If Amendment, Date of Original (Month/Year)	ent, inal	7. Ind	7. Individual or Joint/Group Filing (Check Applicable Line)  X. Form filed by One Reporting Person	Filing (Chec eporting Perso	k Applicable Line
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		Day/ Year)	- <del>\f</del>	2		(A) or		(Instr. 3 and 4)	(D) or Indirect (f)	digs
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Explanation of Responses:														

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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\*\*Signature of Reporting Person

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### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

ATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

subject to Section 16, Fol Form 5 obligations may Check this box if no lon

(Print or Type Responses) See Instruction 1(b).

hours per response . . . . . 0,5

3235-0287

OMB Number:

OMB APPROVAL

Expires: September 30, 1998

Estimated average burden

in to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility and Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

Individual or Joint/Group Filing (Check Applicable Line) (specify below) by Daughter 1 by Daughter 2 Beneficial by Daughter 3 by Daughter 4 Form filed by More than One Reporting Person 10% Owner Indirect Owner-30847472 6. Relationship of Reporting Person(s) to Issuer Other X Form filed by One Reporting Person Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned (Check all applicable) Instr. 4) ship Form: Direct (D) or Indirect Owner ۵ -(give title below) 8 ŝ 501,141 중 ŝ (Instr. 3 and 4) President and CEO End of Month Director Beneficially 5. Amount of Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. \*If the form is filed by more than one reporting person, see Instruction 4(bXv). Securities Owned at ×× \$53.93750 554.13170 \$54.93750 \$55.43750 Price 4. Securities Acquired (A) Applied Micro Circuits Corporation (AMCC) ö 36 Date of Original (Month/Year) June 1999 2. Issuer Name and Ticker or Trading Symbol \_ or Disposed of (D) ۵ If Amendment, (Instr. 3, 4 and 5) 4. Statement for Month/Year 16,320 21,320 12,500 7,500 May 1999 Amount Number of Reporting 3. I.R.S. Identification > Person, if an entity (Voluntary) (Instr. 8) action Trans Code Sode Code Ø S Ø Ø Trans-(Month/ action 05/04/99 05/03/99 05/19/99 Date 05/20/99 Day/ (Middle) (Zip) (Street) (First) (State) 1. Name and Address of Reporting 6290 Sequence Drive San Diego, CA. 92121 Rickey, David M. 1. Title of Security Common Stock Common Stock Common Stock Commos Stock Common Stock Common Stock Common Stock Common Stock (Instr. 3) (Last) (City)

(Over) SEC 1474 (7-97)

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (4g. puts, calls, warrants, options, convertible securities)

'. Title of Derivative Security (Instr. 3)	2. Conversion or action Exercise Date Price of (Month/vative Day/security Year)	3. Trans- action Date (Month/ Day/ Year)	4. Trans- action Code (Instr. 6	ns- if: 89	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration D (Month/Day/Year)	y'	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	t of rities	8. Price of Derivative Security	9. Number of derivative Securities Bene-fically	ship of Form of In. Nature of Form of Indirect Derive ative cial Security: Owner-Direct Opirect Ship	1. Nature of Indirect Beneficial Ownership
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Explanation of Responses:														

\*\*Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

SEC 1474 (7-97)

### Check this box if no longer

Form 5 obligations may continue. subject to Section 16. Form 4 or

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility

hours per response ..... 0.5 Expires: September 30, 1998 Estimated average burden

3235-0287

OMB Number:

OMB APPROVAL

Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940 (Print or Type Responses) See Instruction 1(b).

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(Last) (First)	(Middle) 3		I.R.S. Identification	4. Statement for	AMCC)		X Officer		10% Owner Other
6290 Sequence Drive		Number of Person, if	Number of Reporting Person, if an entity				(give title below)	(wc	(specify helow)
(Street)		(Voluntary)	3)	May 1999		됩	President and CEO		
San Diego, CA. 92121				5. If Amendment, Date of Original (Month/Year)	ent, ginal ır)	7. Inc	7. Individual or Joint/Group Filing (Check Applicable Line)  X. Form filed by One Reporting Person  Form filed by Macaches	p Filing (Che Reporting Pen	xk Applicable Line
(City) (State)	(Zip)		Table I -	Non-Derivative	Securitie	s Acquired, Dispo	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned	ally Owned	Offing Person
(lustr. 3)		<u>mi</u>	Trans- action Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	uired (A) (D) 5)		5. Amount of Securities Beneficially Owned at	6. Owner- ship Form: Direct	7. Nature of Indirect Beneficial
	ξ. Ο X	(Monin/ Day/ Year)	Code	Amount	(A) or	Pris	End of Month (Instr. 3 and 4)	(D) or Indirect (I)	ship
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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (ag. puts, calls, warrants, options, convertible securities)

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11. Nature of indirect Benefit-cial Ownership								
10. Owner- ship Form of Deriv- ative Security:	(U) or Indirect (I) (Instr. 4)							
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3. Trans- action Date (Month/ Day/ Year)	**************************************							
2. Conversion or Exercise Price of Derivative Security								
1, Title of Derivative Security (Instr. 3)								

David M. Rikany

\*\*Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Explanation of Responses:

Potential persons who are to respond to the collection of information contained in this form are not see Instruction 6 for procedure.

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Form 5 obligations may continue.

(Print or Type Responses) See Instruction 1(b).

subject to Section 16. Form 4 or Check this box if no longer

FORM 4

ursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility

hours per response . . . . . 0.5 3235-0287 Expires: September 30, 1998 Estimated average burden OMB APPROVAL OMB Number:

7. Individual or Joint/Group Filing (Check Applicable Line) (specify below) by Daughter 4 by Daughter 1 by Daughter 2 by Daughter 3 Beneficial Owner-30792297 Nature of Form filed by More than One Reporting Person 10% Owner 4 Indirect (Instr. 6. Relationship of Reporting Person(s) to Issuer (Check all applicable) Other X\_Form filed by One Reporting Person Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned Instr. 4) ship Form: Direct (D) or Indirect 6. Owner-**^** -\_ (give title below) 558,781 909 9 600 9 (Instr. 3 and 4) President and CEO End of Month Director 5. Amount of Securities Beneficially Officer Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. \*If the form is filed by more than one reporting person, see Instruction 4(b)(v). Owned at ×× \$53.07020 \$50.43750 \$52,43750 Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940 Price ₹<u>@</u> Applied Micro Circuits Corporation (AMCC) 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 2. Issuer Name and Ticker or Trading Symbol **a** Date of Original (Month/Year) P 5. If Amendment, 4. Statement for Month/Year 42,020 11,100 9,240 **April 1999** Amount Number of Reporting 3. I.R.S. Identification > Person, if an entity Instr. 8) action Transg Code Code S (Voluntary) S (C) Trans-(Month/ Day/ Year) action 04/27/99 04/30/99 04/29/99 Date (Middle) (Zip) 1. Name and Address of Reporting Person\* (Street) (First) (State) 6290 Sequence Drive San Diego, CA. 92121 Rickey, David M. 1. Title of Security Common Stock Common Stock Common Stock Common Stock Common Stock Commen Stock Common Stock (Instr. 3) (Last) (City)

748 8901 May 07 99 03:38p 748-8901 Dave Rickey 05/07/99 13:01 AMCC EXECUTIVE OFFICES + 748 8901 Nature or Indirect Benefi-cial Owner-ship (Instr. 4) erive Security: Direct (D) or Indirect (I) form of Ports of Detay Hitel Bene-fically Owned at End of Month (frett, 4) Secur. of Deriv-ative Secur-ity (Instr. Amount or Number of Shares 7. Title and Amount of Underlying Securities (1981). 3 and 4) (cf. puts, calls, warrants, options, convertible securities) Ě 6. Date Ener-cuable mod Enpiration Date (Month/Dsy/ Year) Expira-tion Date Date Exer-cisable a tive Securities
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Table II . Derivative Securities Acquired, Disposed of, or Beneficially Owned

\*\*Signature of Reporting Pheson

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Page 1 of 3 pages SEC 14

Fotential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

(Print or Type Responses) See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section

hours per response . . . . 0.5 Expires: September 30, 1998 Estimated average burden

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\*\*Signature of Reporting Person

FORM 4 (continued)		Table	II - Deriva (e.g. puts,	ative Secur	tive Securities Acquired, Disposed of, or Beneficial calls, warrants, options, convertible securities)	red, Dispos tions, conv	ed of, or rertible	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g. puts, calls, warrants, options, convertible securities)	wned				
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Explanation of Responses:										1			

See continuation page(s) for footnotes

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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The end of month holdings include 3,125 shares acquired under the AMCC 1997 Employce Stock Purchase Plan in January 1999,

UNITED STATES SECTINITIES AND EXCHANGE COMMISSION

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Washington, D.C. 20549	STATEMENT OF CHANGES IN BENEFICIAL OWNERS	Pilled pursuant to Section 16(a) of the Securities Exchange Act of 1934 Section 27 (2017)
Check this box if no langer	Suffice to Section (6. Form 4 or Form 5 obligations may continue.	Truit of Pype Responses)

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7. Individual or Jount Croup Filling (Check Applicable Line) (specify helany) 30 70 0986 by Daughter 2 by Daughter 3 7. Nature of by Daughter 4 Form filed by More than One Reporting Person Beneficial hy Daughter 1 10% (197b) Indirect ÷ Owner. 6. Relaborship of Reporting Personns) to Issue: Other X Form filed by One Reporting Person (Chrek all applicable) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned (hrstr. 4) (D) or Indirect 6. Owner-Direct Forms = (give title below) 6110.110 668,016.00 0410.00 600.00 60.00 President and CEO (lastr. 3 and 4) End of Month. Director Beneficially 5. Amount of Securities Owned at white Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940 4 Price Applied Miero Circuits Corporation (AMCC) 4 Securities Acquired (A) ₹ (2) 2. Issuer Name and Tieker or Trading Symhol December 1998 Date of Original (Month/Year) 5. If Amendment, = or Disposed of (D) < < 4. Statement for . (Instr. 3, 4 and 5) ~ Month/Year 2,400.00 600.00 600.00 6011.00 600.00 Antount Number of Reporting 3. L.R.S. Identification Person, it an entity (Voluntary) <u>ن</u> **>** > (Anstr. 8) <u>.</u> > \_ action Code Code ٣ ಆ ≘ హ ≘ ψĐ  ${\tt U} \in$ 2. Transuction (Month/ 12/29/98 12/29/08 Dufe 12/29/98 12/29/98 12/29/9K Day/ Year) (Muldle) (Kij) Name and Address of Reporting Person\* (Street) (Fusi) (State) 6290 Sequence Drive San Diego, CA, 02121 Rickey, David M. L. Title of Security Common Stock Common Stock Courmon Stock Common Stock Common Stock (histr. 3) (Last) (A)[\_))

Reminder: Report on a separate line for each class of securities beneficially owned directly or inducerty. \*17 the form is filed by more than one remution nerson see finermenton (Februs)

FORM 4 (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (eg. puß, calls, warrants, options, convertible securities)

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Potential persons who are to respond to the collection of information contained in this form are not

Jan W. Marky

\*\*Signature of Reporting Person

Date

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Page 2 of 3 pages

Transfer to daughter under the California Uniform Transfer to Minors Act. Reporting person is custodian for daughter.

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